



Epping Forest District Council

AUDIT & GOVERNANCE COMMITTEE **Thursday 15th February 2024**

You are invited to attend the next meeting of **Audit & Governance Committee**, which will be held at:

Council Chamber - Civic Offices
on **Thursday 15th February 2024**
at **7.00 pm**

Georgina Blakemore
Chief Executive

Democratic Services
Officer:

Laura Kirman
Tel: 01992 564243
Email: democraticservices@eppingforestdc.gov.uk

Members:

Councillors P Bolton, T Matthews, B Vaz, M Owen and
J M Whitehouse

1. WEBCASTING INTRODUCTION

This meeting is to be recorded. The Chairman will read the following announcement:

"I would like to remind everyone present that this meeting will be recorded to the internet) and will be capable of repeated viewing (or another use by third parties).

If you are seated in the lower public seating area then it is likely that the recording cameras will capture your image and this will result in the possibility that your image will become part of the broadcast.

This may infringe your human and data protection rights and if you wish to avoid this then you should move to the upper public gallery.

Could I please also remind Members to activate their microphones before speaking".

2. APOLOGIES FOR ABSENCE

To be announced at the meeting.

Please use the Members Portal webpage to report non-attendance at meetings [https://eppingforestdc-self.achieveservice.com/service/Member Contact](https://eppingforestdc-self.achieveservice.com/service/Member>Contact) to ensure your query is properly logged.

Alternatively, you can access the Members portal from the front page of the Council's website, at the bottom under 'Contact Us' <https://www.eppingforestdc.gov.uk/your-council/members-portal/>

3. DECLARATIONS OF INTEREST

To declare interests in any item on the agenda for the meeting of the Committee.

4. MINUTES (Pages 4 - 6)

To confirm the minutes of the meeting of the Committee held on 30 November 2023.

5. MATTERS ARISING

To consider any matters arising from the minutes of the previous meeting of the Committee.

6. AUDIT & GOVERNANCE COMMITTEE - WORK PROGRAMME (Page 7)

To consider the attached work programme for the Committee for 2023/24.

7. RISK MANAGEMENT (Pages 8 - 24)

To consider and comment on the risk management report.

8. INTERNAL AUDIT PROGRESS REPORT (Pages 25 - 88)

To consider and comment on the Internal Audit Progress Report.

9. AUDIT AND GOVERNANCE COMMITTEE EFFECTIVENESS UPDATE (Pages 89 - 98)

To consider the Audit and Governance Committee Effectiveness Update.

10. TREASURY MANAGEMENT QUARTER 3 UPDATE 2023/24 (Pages 99 - 112)

To consider the Treasury Management Quarter 3 update 2023/24.

11. ANY OTHER BUSINESS

Section 100B(4)(b) of the Local Government Act 1972 requires that the permission of the Chairman be obtained, after prior notice to the Chief Executive, before urgent business not specified in the agenda (including a supplementary agenda of which the statutory period of notice has been given) may be transacted.

12. EXCLUSION OF PUBLIC AND PRESS

Exclusion:

To consider whether, under Section 100(A)(4) of the Local Government Act 1972, the public and press should be excluded from the meeting for the items of business set out below on grounds that they will involve the likely disclosure of exempt information as defined in the following paragraph(s) of Part 1 of Schedule 12A of the Act (as amended) or are confidential under Section 100(A)(2):

Agenda Item No	Subject	Exempt Information Paragraph Number
Nil	Nil	Nil

The Local Government (Access to Information) (Variation) Order 2006, which came into effect on 1 March 2006, requires the Council to consider whether maintaining the exemption listed above outweighs the potential public interest in disclosing the information. Any member who considers that this test should be applied to any currently exempted matter on this agenda should contact the proper officer at least 24 hours prior to the meeting.

Background Papers:

Article 17 of the Constitution (Access to Information) define background papers as being documents relating to the subject matter of the report which in the Proper Officer's opinion:

- (a) disclose any facts or matters on which the report or an important part of the report is based; and
- (b) have been relied on to a material extent in preparing the report and does not include published works or those which disclose exempt or confidential information and in respect of executive reports, the advice of any political advisor.

The Council will make available for public inspection one copy of each of the documents on the list of background papers for four years after the date of the meeting. Inspection of background papers can be arranged by contacting either the Responsible Officer or the Democratic Services Officer for the particular item.